

10/30/00  
Jc685 U.S. PTO

S&H Form: PTO/SB/05\_(10/00)

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

1293.1144/MDS/PTM

First Named Inventor or Application Identifier:

Tae-kyung KIM et al.

Express Mail Label No.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 43 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 15 ]
4. ☐ Oath or Declaration ..... [ Total Pages:    ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Applicant claims small entity status.
6. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 [X] Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No:    /   

## 18. CORRESPONDENCE ADDRESS

**\*21171\***

PATENT TRADEMARK OFFICE

Staas & Halsey LLP

# NEW APPLICATION FEE TRANSMITTAL

Attorney Docket No.

1293.1144/MDS

Application Number

unassigned

Filing Date

October 30, 2000

AMOUNT ENCLOSED

\$

First Named Inventor

Tae-kyung KIM et al.

## FEE CALCULATION (fees effective 10/01/00)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	82 - 20 =	62	X \$ 18.00 =	\$ 1116.00
	INDEPENDENT CLAIMS	9 - 3 =	6	X \$ 80.00 =	480.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$270.00 =	
				<b>BASIC FILING FEE</b>	710.00
				Total of above Calculations =	\$ 2306.00
	Surcharge for late filing fee, Statement or Power of Attorney (\$130.00)				+
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28).				-
				<b>TOTAL FILING FEE =</b>	\$ 2306.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				+
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h)(1))				+
				<b>TOTAL FEES DUE =</b>	\$ 2306.00

## METHOD OF PAYMENT

☐ Check enclosed as payment.

☐ Charge "TOTAL FEES DUE" to the Deposit Account No., below.

☒ No payment is enclosed and no charges to the Deposit Account are authorized at this time.

## GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

19-3935

Deposit Account Name

STAAS &amp; HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

**SUBMITTED BY: STAAS & HALSEY LLP**

Typed Name

Michael D. Stein

Reg. No.

37,240

Signature

Date

October 30, 2000



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Tae-kyung KIM et al.

Serial No.: Unassigned

Group Art Unit: Unassigned

Filed: October 30, 2000

Examiner: Unassigned

For: OPTICAL PICKUP WITH IMPROVED COLLIMATING LENS FOR USE WITH  
LONG AND SHORT WAVELENGTH LASER BEAMS

**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN  
APPLICATION IN ACCORDANCE  
WITH THE REQUIREMENTS OF 37 C.F.R. §1.55**

*Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231*

*Sir:*

In accordance with the provisions of 37 C.F.R. §1.55, the applicant(s) submit(s)  
herewith a certified copy of the following foreign application:

Korean Patent Application No. 99-47747, filed October 30, 1999 and

Korean Patent Application No. 99-47751, filed October 30, 1999.

It is respectfully requested that the applicant(s) be given the benefit of the foreign filing  
date as evidenced by the certified papers attached hereto, in accordance with the requirements  
of 35 U.S.C. §119.

Respectfully submitted,

STAAS & HALSEY LLP

By: 

Michael D. Stein

Registration No. 37,240

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(202) 434-1500

Date: 10/30/00